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AA

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ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, D.C. 20231

02/25/02  
J1040 U.S. PTO  
02/25/02  
J10/083354

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**Under 37 CFR 1.53(b)**

Transmitted herewith for filing is the patent application of:

INVENTOR(S): Kenneth A. Peterson, Robert. D. Watson

TITLE: Single Level Microelectronic Device Package with an Integral Window

DOCKET NO. SD-7121

DATE: 2/25/02

**I. APPLICATION ELEMENTS**

1.  Fee Transmittal Form for FY 2002
2.  Specification  
Total Pages 63
3.  Drawings  
Total Pages 19
4.  Combined Declaration and Power of Attorney
  - a.  Newly Executed
  - b.  Copy from Prior Application  
(Divisional)
5.  Incorporation by Reference (if Box 4b is checked). The entire disclosure of the prior application(s) from which a copy of the Oath or Declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

## II. ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet and document(s))
8.  37 CFR 3.73(b) Statement  
(where there is an assignee)
9.  Information Disclosure Statement (IDS)/PTO-1449  
Copies of IDS Citations
10.  Preliminary Amendment
11.  Return Receipt Postcard (Itemized)
12.  Nonpublication Request

## III IF A CONTINUING APPLICATION

Continuation     Divisional     Continuation-in-Part (CIP)

of prior application No. 09/571,335 (copy enclosed)

## IV. CORRESPONDENCE ADDRESS

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Dated:

2/22/02

Robert D. Watson

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## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on the date indicated below, in an envelope as "Express Mail Post Office to Addressee", addressed to the: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

2/25/02

Date

Viola Campos

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<b>NONPUBLICATION REQUEST UNDER</b>  <b>35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Kenneth A. Peterson
	Title	Single Level Microelectronic Device Package with an Integral Window
	Atty Docket Number	SD-7121

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/22/02

Date

Robert D. Watson

Signature

Robert D. Watson, Reg. No. 45, 604

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Application may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision  
Small Entity payments must be supported by a small entity statement,  
Otherwise large entity fees must be paid See Forms PTO/SB/09-12

**TOTAL AMOUNT OF PAYMENT** **(\$1700)**

## Complete if Known

Application Number	
Filing Date	2/25/02
First Named Inventor	Kenneth A. Peterson
Examiner Name	
Group / Art Unit	2811
Attorney Docket No.	SD-7121

## METHOD OF PAYMENT (check one)

1.  indicated fees and credit any over payments to:

Deposit Account Number **19-0131**

Deposit Account Name **Sandia National Labs**

Charge Any Additional Fee Required Under  
37 CFR 1.16 and 1.17

## 2. Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	11	1,840	Requesting publication of SIR after Examiner	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final refection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

**SUBTOTAL (2) (\$960)**

## SUBMITTED BY

Typed or Printed Name	Robert D. Watson	Complete (if applicable)
Signature	<i>Robert D. Watson</i>	Reg. Number <b>45, 604</b>

Burden Hour Statement This form is estimated to take 0 2 hours to complete Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231